

Express Mail No: EV 673965679 US

IN THE ENITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

10/522,320

Filed:

January 24, 2005

Applicants:

John L. Schenk and Allison C. Lindsey Sperm Cell Process System

Title:

3perm 1651

Examiner:

Leon B. Lankford

Attorney Docket: Customer No. XY-Optimum-NP-US

33549

CERTIFICATE OF EXPRESS MAILING

I, Cheryl A. Swanson, hereby certify to the truth of the following items:

- I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Information Disclosure Statement pursuant to 37 CFR §1.97(b)(3) along with a copy of each item listed under "Other References;" Letter of Transmittal; and return post card with the United States Postal Service as Express Mail, postage prepaid, for mailing to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Dated this 11 day of August, 2006.

Cheryl A. Swanson



Express Mail No: EV 673965679 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

10/522,320

Applicants:

January 24, 2005

Title:

John L. Schenk and Allison C. Lindsey Sperm Cell Process System

TC/A.U: Examiner: 1651 Leon B. Lankford

Attorney Docket:

XY-Optimum-NP-US

33549

LETTER OF TRANSMITTAL

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed with this correspondence are the following documents:

- Information Disclosure Statement pursuant to 37 CFR §1.97(b)(3) along with a copy of each item listed under "Other References;"
- 2. This Letter of Transmittal; and
- 3. A Certificate of Express Mailing for each document and a return receipt post card.

I have this \coprod day of August, 2006, either myself personally or through my direction of staff at this office, deposited all of the items in the above letter of transmittal with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Please confirm receipt of the documents by applying your date stamp on the enclosed postcard and returning it to me.

Dated this ____ day of August, 2006.

Respectfully Submitted, SANTANGELO LAW OFFICES, P.C.

By: Music A. Ressue

Attorney for Assignee PTO No. 48,665 125 South Howes, Third Floor Fort Collins, Colorado 80521 (970) 224-3100



Express Mail No. EV 673965679 US

| PATENT | AND | TRADEMARK | OFFICE |
|--------|-----|-----------|--------|

| INFORMATION DISCLOSURE |
|------------------------|
| STATEMENT BY APPLICANT |

| APPLICATION NO: | 10/522,320 |
|-----------------|------------------|
| FILING DATE: | January 24, 2005 |
| FIRST NAMED | John L. Schenk |
| ART UNIT: | 1651 |
| EXAMINER NAME: | Leon B. Lankford |
| DOCKET NO: | XY-Optimum-USNP |

I. US PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. & KIND CODE (if known) | | PUBLICATION/ISSUE DATE mm/dd/yyyy | Pages, Columns, Lines Where Relevant Passages Or Relevant Drawings Appear |
|---------------------|---|----------|--------------------------------------|---|
| | 4,007,087 | Ericsson | 2/8/1977 | |
| ļ | | | | |
| | | | | |

II. FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | Foreign Patent Document Country Code, Number, Kind Code (if known) | PATENTEE OR APPLICANT NAME | PUB'N DATE mm- dd-yyyy | TRANSL Yes | ATION No |
|---------------------|--|----------------------------------|---------------------------|---------------|-------------|
| | | | | | |
| | | | | | |
| | | | | | |

III. OTHER REFERENCES

| EXAMINER INITIAL | Document | | | |
|---------------------|---|--|--|--|
| | European Regional Application Number EP 03765919; Supplementary EP Search Report dated 06/14/2006 | | | |
| | New Zealand Patent Application Number NZ 538265; Examination Report dated 04/13/2006 | | | |
| | Seidel, G.E. Jr., et al., Methods of Ovum Recovery and Factors Affecting Fertilization of Superovulated Bovine Ova, Control of Reproduction in the Cow, Sneenan ed., 1978, pp 268-280 | | | |
| | Hawk, H. W. et al., Effect of Unilateral Cornual Insemination upon Fertilization Rate in Superovulating and Single-Ovulating Cattle, Journal of Animal Sciences, 1986 vol. 63, pp 551-560 | | | |
| | Andersson, M. et al., Pregnancy Rates in Lactating Holstein-Greisian Cows after Artificial Insemination with Sexed Sperm. Reprod. Dom. Anim 41, 95-97, 2006 | | | |
| | Morton, K. M., et al., In vitro and in vivo survival of bisected sheep embryos derived from frozen-thawed unsorted, and frozen-thawed sex-sorted and refrozen-thawed ram spermatozoa; Theriogenology, 65 (2006) 1333-1345 | | | |
| | Wilson, R. D., et al., In vitro production of bovine embryos using sex-sorted sperm, Theriogenology, 65 (2006) 1007-1015 | | | |
| | Johnson, L.A., et al, 1996 Gender preselection in mammals. XX Beltsville Symposium in Agricultural Research Technolgy's Role in the Genetic Improvement of Farm Animals. pp. 151-164, Amer. Soc. Anim. Sci. IL, USA. | | | |
| | Smorag, Z., et al., Cattle Sex Regulation by Separation of X and Y Spermatozoa – Preliminary Results of Field Experiment in Poland, Reproduction, Fertility and Development 17(2) 306–306; 01/01/2005 | | | |
| | Crichton, E., et al. (Abstract) Artificial Insemination of Lactating Holstein Cows with Sexe Sperm, Reproduction, Fertility and Development 18(2) 281 - 281, 12/14/2005 | | | |
| | Lindsey, A.C., et al. Hysteroscopic insemination of low numbers of flow sorted fresh and frozen/thawed stallion spermatozoa, Equine Vet J. 2002 Mar;34(2):106-7. | | | |
| | Drobnis, E. Z., Cold shock damage is due to lipid phase transitions in cell membranes: a demonstration using sperm as a model, Journal of experimental zoology (J. exp. zool.) 1993, vol. 265, no4, pp. 432-437 (22 ref.) | | | |
| | Hagele, W.C., et al., Effect of Separating Bull Semen into X and Y Chromosome-bearing Fractions on the Sex Ratio of Resulting Embryos; Cran J. Comp. Med, 1984: 48:294-298 | | | |
| | US Patent Application Number 11/422,735 filed 05/25/2006 entitled Apparatus, Methods and Processes for Sorting Particles and for Providing Sex-Sorted Animal Sperm | | | |
| | Suh, T.K, et al., Pressure during flow sorting of bull sperm affects post-thaw motility characteristics; Theriogenology Vol. 59, No. 1, January 2003 p 516 | | | |
| CAMINER: | /Tilfany Gough/ DATE CONSIDERED 06/20/2008 | | | |